

404881.106357



JPW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Arnold Plonski

Serial No.: 10/747,754

Filed: December 29, 2003

For: OPTION PREMIUM ENHANCED TOTAL RETURNS FROM A
PREDETERMINED INDEX OR ETF TYPE PORTFOLIO

Art Unit: 3691

Examiner: Thomas M. Hammond III

7 Times Square
New York, New York 10036-7311
212-297-5800

AMENDMENT

Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SIR:

In response to the Office Action of October 3, 2007, please amend the above-identified
application as follows:

I hereby certify that this correspondence is being deposited
with the United States Postal Service as first class mail in
an envelope addressed: Commissioner of Patents and Trademarks,
P.O. Box 1450, Alexandria, VA 22313-1450, on December 11, 2007

Ronald E. Brown

Attorney


Signature

December 11, 2007

Date of Signature

The Commissioner is hereby authorized to charge any additional
fees which may be required, or credit any overpayment to
Account No. 50-1145, Order No. 404881.106357